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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dougan H. Clarke

Serial No.: 10/748,755

Filing Date: December 30, 2003

For: HUB ASSEMBLY FOR AN UMBRELLA FRAME

Group Art Unit 3637  
Customer Number 4219

2800 S.W. Third Avenue  
Historic Coral Way  
Miami, Florida 33129

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF MAILING

I HEREBY CERTIFY that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, this 29<sup>th</sup> day of August, 2005.

Respectfully submitted,

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By: Jennie S. Malloy  
Jennie S. Malloy  
Reg. No. 37,670

Date: 8/29/05



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Dear Sir:

INFORMATION DISCLOSURE STATEMENT

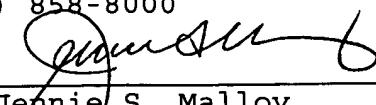
In accordance with Applicant's duty of disclosure, the attached information is provided for consideration by the U.S. Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached form PTO/SB/08A. Copies of U.S. patent documents are not enclosed, in accordance with MPEP 609 subsection III.A(2) (A).

The Examiner is respectfully requested to return a copy of an initialed form PTO/SB/08A evidencing consideration of this information with the next Office Action.

Respectfully submitted,

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Attorneys for Applicant  
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(305) 858-8000

By:

  
Jennie S. Malloy  
Reg. No. 37,670

Dated: 8/29/05

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	10/748,755
Filing Date	December 30, 2003
First Named Inventor	Dougan H. Clarke
Art Unit	3637
Examiner Name	

Attorney Docket Number 1.221.02

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Number-Kind Code <sup>2</sup> (if known)					
1	US-	2,745,421	05-15-1956	Russell	
2	US-	4,368,749	01-18-1983	Lindler et al.	
3	US-	4,832,304	05-23-1989	Morgulis	
4	US-	4,993,445	02-19-1991	Dubinsky	
5	US-	5,020,557	06-04-1991	Apple	
6	US-	5,193,566	03-16-1993	Chen	
7	US-	5,355,903	10-18-1994	Haddad et al.	
8	US-	5,640,984	06-24-1997	Dubinsky	
9	US-	5,836,328	11-17-1998	Lee	
	US-				

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)						

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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